

PATENT
P56988

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HANS-ULRICH STAUBER

Serial No.: 10/700,769 Examiner: MACKEY, PATRICK HEWEY

Filed: 5 November 2003 Art Unit: 3651

For: A DEVICE FOR COLLECTING AND PROCESSING FOLDED PRINTED PRODUCTS

INFORMATION DISCLOSURE STATEMENT

Paper No. 6

Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.56, and §§1.97 and 1.98 as amended, Applicant cites, describes and provides copies of the following art references. Under 37 C.F.R. §1.98(a)(2) however, copies of U.S. patent reference(s) are not provided.

1. Canadian Patent No. 2125094 to Willy Leu, entitled *METHOD AND APPARATUS FOR CONNECTING THE SHEETS OF A MULTI-SHEET PRINTED PRODUCT*, issued on August 30, 2005;
2. U.S. Patent No. 5,634,758 to Leu, entitled *PROCESS AND APPARATUS FOR THE ADHESIVE CONNECTION OF THE SHEETS OF A MULTI-SHEET FOLDED PRINTED PRODUCT*, issued on June 3, 1997; 11/02/2005 JADD01 00000124 10700769
3. U.S. Patent No. 5,590,828 to Stauber, entitled *APPARATUS FOR THE WIRE-STAPLING OF PRINTED PRODUCTS*, issued on January 7, 1997; 11/02/2005 JADD01 00000124 10700769
4. U.S. Patent No. 5,098,002 to Hänsch *et al.*, entitled *STAPLING APPARATUS*, issued

on March 24, 1992; and

5. U.S. Patent No. 3,951,399 to Reist, entitled *ARTICLE-HANDLING APPARATUS*, issued on April 20, 1976.

Leu '094 relates to a method and apparatus for connecting the sheets of a multi-sheet printed products such as magazines, brochures and the like, wherein the sheets are adhesively connected together point-wise by the introduction of an adhesive into perforations formed in them. During this, the adhesive applied to the outer side of the penetration and drilling needles is transferred onto the sheets over the whole length of the perforations simultaneously with the formation of the perforations by means of these needles and/or on retraction of the needles.

Leu '758 relates to a multi-sheet, folded printed product arranged on the supporting member, which is penetrated by a penetration tool, from the direction of a supporting member. A pressure-exerting element acts on the printed product with a lateral compressive force. This results in a widening of the through-passage produced through the printed product. Upon withdrawal into the rest position of the penetration tool, adhesive present on the surface of the penetration tool is transferred onto the sheets in the through-passage. Once the lateral compressive force has been eliminated, the through-passage closes, which results in good distribution of the adhesive and reliable adhesive bonding.

Stauber '828 relates to a retaining device of a wire-section dispenser which has a tongue-like first retaining element and a second retaining element which form boundaries for a gap. The second retaining element can be moved out of a rest position into a clamping position, which narrows the gap, and back again. When the second retaining element is located in the rest position, a stapling wire is advanced into the gap and then fixedly clamped by displacing the second retaining element into the clamping position. A cutting element, which severs the continuous stapling wire into wire sections, is synchronously moved with the second retaining element. The staple guide of a stapling

head engages with its carry-along member, the wire section and moves it in the direction of rotation, overcoming the retaining force in doing so.

Hänsch *et al.* '002 relates to a stapling apparatus which includes a shaft which is swivelably mounted on a supporting part of a stapling head. The stamp is seated rotationally firmly on the shaft. For the purpose of accepting a wire section from a wire section dispenser, the shaft can be swiveled clockwise by 180.degree. from its staple placing position. The wire section accepted by the stamp is formed into a staple on a slotted link. The lateral arms of the staple are guided in grooves of the stamp arms. In the staple placing position, the staple is ejected from the stamp by means of a ram.

Reist '399 pertains to an apparatus for handling articles, e.g. newspapers or magazines, having a rotatable member divided into a number of axially-adjacent units. Each unit is divided into a number of radially-extending compartments having feeding devices for transferring articles fed to the compartments of one unit to the compartments of the adjacent unit where additional articles, e.g. inserts, may be added.

The citation of the foregoing references is not intended to constitute an assertion that other or more relevant art does not exist. Accordingly, the Examiner is requested to make a wide-ranging and thorough search of the relevant art.

A fee of \$180.00 is incurred by filing of an Information Disclosure Statement. Applicant's check drawn to the order of Commissioner accompanies this Amendment. Should the check become lost, be deficient in payment, or should other fees be incurred, the Commissioner is authorized to charge Deposit Account No. 02-4943 of Applicant's undersigned attorney in the amount of such fees.

Respectfully submitted,



Robert E. Bushnell

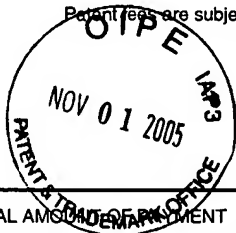
Reg. No.: 27,774

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Folio: P56988
Date: 11/1/05
I.D.: REB/kf

FEE TRANSMITTAL

Patent fees are subject to annual revision.

**Complete If Known**

Application Number	10/700769
Filing Date	5 November 2003
First Named Inventor	Hans-Ulrich Stauber
Examiner Name	Patrick Mackey
Group/Art Unit	3651
Attorney Docket No.	P56988

TOTAL AMOUNT DUE

(\$ **180.00**)**METHOD OF PAYMENT (check one)****FEE CALCULATION****1. ■ Payment Enclosed:****(CHECK #49806)**
☒ Check
 ☐ Credit Card
 ☐ Money Order
 ☐ Other

☐ Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ■ The Commissioner is hereby authorized to charge any deficiency and credit any over payments to:
Deposit Account Number: **02-4943****FEE CALCULATION**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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EXTENSION OF TIME FEES

1251	120	2251	60	Extension for reply within first month	\$
1252	450	2252	225	Extension for reply within second month	\$
1253	1020	2253	510	Extension for reply within third month	\$
1254	1590	2254	795	Extension for reply within fourth month	\$
1255	2160	2255	1080	Extension for reply within fifth month	\$

APPEAL

1401	500	2401	250	Notice of Appeal	\$
1402	500	2402	250	Filing a brief in support of an appeal	\$
1403	1000	2403	500	Request for oral hearing	\$

CLAIMS

1201	200	2201	100	Independent claims in excess of 3	\$
1202	50	2202	25	claims in excess of 20 (4)	\$

Other Fee (specify) _____ \$

Other Fee (specify) _____ \$

Other Fee (specify) _____ \$

SUBTOTAL: LEFT COLUMN \$0.00**MISCELLANEOUS**

1801	\$790	2801	\$395	Request for continued examination (RCE)	\$
1806	\$180			Submission of an IDS	\$180.00
1814	\$130	2814	\$65	Statutory disclaimer	\$
8021	\$40			Recordation of assignment per property	\$

TRADEMARK

6001/7001	\$335			Application for registration, per class	\$
6002/7002	\$100			Amendment to Allege Use, per class	\$
6003/7003	\$100			Statement of Use, per class	\$
6004/7004	\$150			Request for six-month extension of time, per class	\$
6205/7205	\$100			\$8 affidavit, per class	\$
6208/7208	\$200			\$15 affidavit, per class	\$
6201/7201	\$400			Application for renewal, per class	\$
6403/7403	\$100			Ex parte appeal, per class	\$

PETITION

1462	\$400			Petitions to Director (Group I)	\$
1463	\$200			Petitions to Director (Group II)	\$
1464	\$130			Petitions to Director (Group III)	\$
1452	\$500	2452	\$250	Petitions to revive unavoidably abandoned application	\$
1453	\$1500	2453	\$750	Petitions to revive unintentionally abandoned application	\$

PATENT MAINTENANCE

1551	\$900	2551	\$450	Due at 3.5 years	\$
1552	\$2300	2552	\$1150	Due at 7.5 years	\$
1553	\$3800	2553	\$1900	Due at 11.5 years	\$

Other Fee (specify) _____ \$

Other Fee (specify) _____ \$

Other Fee (specify) _____ \$

SUBTOTAL: RIGHT COLUMN \$180.00**SUBMITTED BY****Complete (if applicable)**

Typed or Printed Name

Robert E. Bushnell, Esq.

Reg. Number

27,774

Signature

Robert E. Bushnell

Date

1 November 2005

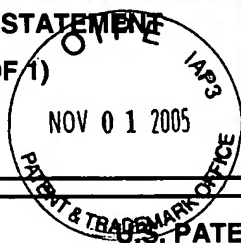
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INFORMATION DISCLOSURE STATEMENT

PTO-1449 (PAGE 1 OF 1)



SERIAL NUMBER 10/700769

DOCKET NO. P56988

APPLICANT

HANS-ULRICH STAUBER

FILING DATE 5 November 2003

GROUP

3651

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,634,758	6/97	Leu			
	5,590,828	1/97	Stauber			
	5,098,002	3/92	Hänsch et al.			
	3,951,399	4/76	Reist			

FOREIGN PATENT DOCUMENTS

TRANSLATION

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
2125094	8/30/05	CANADA				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.